In Place of FORM PTO-1449 (Modified)

The TRUST OF PATENTS AND PUBLICATIONS FOR APPLICANTS' INFORMATION DISCLOSURE

Serial No.: Applicants: Filing Date: 09/899,833

Raymond W. Ellis et al.

July 5, 2001 2152

Group:
Atty. Docket No.:

21183-P001P1

Reference Designation

STATEMENT

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number 5,826,040	Date 10/20/98	Name Fargher et al.	Class 395	Subclass 208	Filing Date if Appropriate	
JOAAA JOABA	5,453,933	09/26/95	Wright et al.	364	474.23	Dro-	
ACA	•					APR 1 6	VED
ADA						APR 1 6	2002
AEA						Technology Cer	20.0.
AFA						-97 061	er 2100
AGA		1			<u> </u>		
AHA							
AIA							
AJA							
AKA							
ALA					:		
AMA							
ANA							
AOA							
APA						,	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
SBAQA	WO95/34866	12/21/95	PCT			_x
ARA						
ASA						
ATA						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner
Initial
DOAL

M. Bakalem et al. "PPS: An Integrated Object Oriented Approach for Modelling and Simulation of Manufacturing Systems," *IEEE*, 1994, pp. 2184-2189.

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

MAR 1 9 2007

Sheet

Signature

PTO/SB/08A (07-05) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE sperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

WERENAS?

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

__of__13

Cor	mplete if Known	
Application Number	09/899,833	
Filing Date	July 05, 2001	
First Named Inventor	Raymond W. Ellis	
Art Unit	2143	
Examiner Name	Jean Gilles, Jude	
Attorney Docket Number	34741-971	

Considered

U. S. PATENT DOCUMENTS Pages, Columns, Lines, Where Name of Patentee or **Document Number** Publication Date Examiner Cite Applicant of Cited Document Relevant Passages or Relevant Floures Appear Number-Kind Code^{2 (I known)} ^{US-} 6,832,120 12-14-2004 Frank et al. ^{US-} 7,053,767 05-30-2006 Petite et al. US- 6,618,425 09-09-2003 Carlesi et al. 10-28-2003 ^{US-} 6,640,151 Somekh et al. Arackaparambil et al US- 7,069,101 06-27-2006 03-18-2003 ^{US-} 6,535,779 Birang et al. US- 6,681,145 01-20-2004 Greenwood et al. Crater et al. 03-13-2001 US- 6,201,996 ^{US-} 6,615,091 09-02-2003 Birchenough et al. ^{US-} 6,944,584 09-13-2005 Tenney et al. Eryurek, Evren US- 6,370,448 04-09-2002 De Pauw et al. ^{US-} 5,592,600 01-07-1997 Bowman-Amuah, Michel K. 12-18-2001 ^{US-} 6,332,163 04-15-2003 Carter et al. US- 6,549,199 US- 6,421,682 07-16-2002 Craig et al. US- 6,553,403 04-22-2003 Jarriel et al. Johnson et al. ^{US-} 5,987,135 11-16-1999 ^{US-} 6,418,352 07-09-2002 Ellis et al. ^{US-} 5,847,957 12-08-1998 Cohen et al.

		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	١.
		Country Code ^{3 -} Number ⁴ Kind Code ⁸ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	ľ
						L
	\vdash					
	├					Т
	 					╆
		<u> </u>				╆
	l	,	1			<u> </u>
	1		•			L

*EXAMINER: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with mext communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the region of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is activated.

I ransiation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

MAR 1 9 2007 Under the Paperwork.

Under the Paperwork.

1449/PTO

Sheet 2

PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of |3

Complete if Known				
Application Number	09/899,833			
Filing Date	July 05, 2001			
First Named Inventor	Raymond W. Ellis			
Art Unit	2143			
Examiner Name	Jean Gilles, Jude			
Attachay Docket Number	24741-071			

U. S. PATENT DOCUMENTS Publication Date Name of Patentee or Pages, Columns, Lines, Where Examiner **Document Number** Relevant Passages or Relevant MM-DD-YYYY Applicant of Cited Document Figures Appear Number-Kind Code² (# known) US- 2002/0026514 02-28-2002 Ellis et al. US-US-US-US-US-US-US-US-US-US-US-UŞ-US-US US-US

		FOREI	GN PATENT DOCL	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	ye.
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Of Relevant Figures Appear	<u>L'</u>
36		PCT 01/57823	08-09-2001	Domain Logix Corporation		
-					·	
						L
				•		

Examiner Signature	70.	ZŞ.)	Date Considered	05/18	107

*EXAMINER: Initial if reference considered, whether of hot etition is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (07-05)

Approved for use through 07/31/2006. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Reperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it contains a valid OMB control number.

Complete if Known MAR 1 9 2007 **Application Number** MADEMAN 09/899,833 Filing Date INFORMATION DISCLOSURE July 05, 2001 STATEMENT BY APPLICANT First Named Inventor Raymond W. Ellis Art Unit 2143 (Use as many sheets as necessary) **Examiner Name** Jean Gilles, Jude Attorney Docket Number 34741-971 Sheet

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	nitials* No.1 the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T²
gl	1	SHAOPENG WANG ET AL: "Enabling robustness and flexibility of equipment data collection through SEMI EDA standards" ADVANCED SEMICONDUCTOR MANUFACTURING, 2004 ASMC '04. IEEE CONFERENCE AND WORKSHOP BOSTON, MA, USA 4-6 MAY 2004, PISCATAWAY, NJ, USA, IEEE, US, 4 MAY 2004, pages 165-169, XP010768986; ISBN: 0-7803-8312-5; figures 1, 5; Sections "Common Equipment Model" and "Integration".	1
	2	Semi E-37-0298; High-Speed SECS Message Services (HSMS) Generic Services (1998), pp. 1-24	
	3	Semi E4-0699; Semi Equipment Communications Standard 1 Message Transfer (SECS-I) (1999), pp. 1-20	
	4	Semi E30-0299; Generic Model for Communications and Control of Semi Equipment (GEM) (1992), pp. 1-76	
	5	Semi E5-0699; Semi Equipment Communication Standard 2 Message Content (SECS-II) (1999), pp. 1-240	
- V			

Examiner	0////	Date	2 12 12
Signature	1 HXX	Considered	05/25/01

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in gonformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English tanguage Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents. P.O. Box 1450. Alexandria, VA 22313-1450. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08A (07-05) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/FASE

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	09/899,833	_		
Filing Date	July 05, 2001			
First Named Inventor	Raymond W. Ellis			
Art Unit	2143			
Examiner Name	Pwu, Jeffrey			
Attorney Docket Number	34741-971	_		

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
ZQ.		^{US-} 5,948,063	9/7/1999	Cooper, et al.	
		^{US-} 5,961,588	10/5/1999	Cooper, et al.	
·		US- 5,809,028	9/15/1998	Nethercott, et al.	
		^{US-} 6,021,331	2/1/2000	Cooper, et al.	
		^{U\$-} 6,094,678	7/25/2000	Nethercott, et al.	
		^{US-} 6,418,214	7/9/2002	Smythe, et al.	-
		US- 6,826,439	11/30/2004	Barber, et al.	
		^{US-} 6,167,451	12/26/2000	Stracke, Jr.	
/		^{US-} 6,842,660	1/11/2005	Tripathi, et al.	
V		US- 7,031,783	4/18,2006	O'Grady, et al.	
		US-			
		US-			
		US-			
		U\$-			
		US-			
		US-			·
		US-			
		U\$-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ Number ⁴ "Kind Code ⁶ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	Т
	 					┢
						Ė
						L
					1	1

Signature *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at https://www.usrio.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language

Date Considered

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.